

CUSTOMS PROPRIETARY COMMODITY RECORD FORMATS FOR AES

as of November 1996

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Commodity Data for Customs Proprietary Format

Authorized users are exporters, forwarders, carriers, service centers and port authorities.

Each input or output transaction must contain:

- A *Transaction Control Header Record* (Record Identifier A) identifies the user and also denotes the beginning of a file. The A record also provides the two-character Application ID specifying the type of data and the task to be performed
- A *Block Control Header Record* (Record Identifier B) identifies the party ID of the owner of the shipment and also allows for separating data into groups by location. All blocks within a transaction file must contain the same type of application data. Different applications require the building of separate transaction files
- A *Block Control Trailer Record* (Record Identifier Y) is paired with the Block Control Header Record and signals the end of the block detail data
- A *Transaction Control Trailer Record* (Record Identifier Z) is paired with the Transaction Control Header Record and signals the end of the transaction file.

The A, B, Y and Z records must be sent as input on transmissions. The U.S. Customs Service returns output using the same records and file/block organization. Figure 1 shows a sample Shipper's Commodity Record Set. Figure 2 shows an error message response and Figure 3 shows a sample status notification record set.

Figure 1: Sample Shipper's Commodity Record Set

<u>Record</u>	<u>Description</u>	<u>Status</u>
A	Transaction Control Header	M
B	Block Control Header	M
SC1	Shipper's Commodity Header Data 1	M
SC2	Shipper's Commodity Header Data 2	M
SC3	Shipper's Commodity Header Data 3	O
N01	Commodity Line Item Parties	M
N02	Commodity Line Item Parties	C
N03	Commodity Line Item Parties	C
CL1	Commodity Line Item Description	M
CL2	Commodity Line Item Description	C
CL3	Commodity Line Item Description	O
EV1	Export Vehicle Information	C
Y	Block Control Trailer	M
Z	Transaction Control Trailer	M

Figure 2: Sample Error Message Response from Customs

<u>Record</u>	<u>Description</u>
A	Transaction Control Header
B	Block Control Header
SC1 thru EV1	Record in Error
ES1	Error Message Record
Y	Block Trailer Record
Z	Transaction Trailer Record

Figure 3: Sample Status Notification from Customs

<u>Record</u>	<u>Description</u>
A	Transaction Control Header
B	Block Control Header
SC1	Header Record
ES1	Notification
Y	Block Trailer Record
Z	Transaction Trailer Record

There are three basic AES applications. In addition to the task, the Application Identifier also specifies the type of data to be processed. The Application Identifier is part of the A record. The following is a list of AES Application ID codes:

<u>Appl. ID</u>	<u>Description</u>
XP	Commodity data input to Customs
XT	Acceptance/Rejection Message - Customs output in response to input
XN	Status notifications - output initiated by Customs

Note: X denotes the application (AES); P, T, or N denotes the action to be taken.

AES users may receive or send more than one transaction file during a transmission session. A sample shipper's commodity record data set for multiple filer reference numbers is shown in Figure 4.

Figure 4: Sample Shipper's Commodity Data Set for two Districts/Ports

A	Transaction Control Header
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	B	Block Control Header
	SC1	Shipper's Commodity Header Data 1
	SC2	Shipper's Commodity Header Data 2
*	SC3	Shipper's Commodity Header Data 3
	N01	Commodity Line Item parties
	N02	Commodity Line Item Parties
	CL1	Commodity Line Item Description
	CL2	Commodity Line Item Description
	EV1	Export Vehicle Information
	SC1	Shipper's Commodity Header Data 1
	SC2	Shipper's Commodity Header Data 2
	N01	Commodity Line Item parties
	N02	Commodity Line Item Parties
**	CL1	Commodity Line Item Description
	Y	Block Control Trailer
	B	Block Control Header
	SC1	Shipper's Commodity Header Data 1
	SC2	Shipper's Commodity Header Data 2
	N01	Commodity Line Item parties
	N02	Commodity Line Item Parties
*	CL1	Commodity Line Item Description
	Y	Block Control Trailer
Z		Transaction Control Trailer

* = first exporter, two transactions within a single block control set (can have up to 99 filer reference numbers)
**= second exporter data set, one filer reference number

A service center or multi-port exporter who wishes to transmit data for a new office location must contact their Customs Client Representative prior to transmission for that location. The Client Representative must also be notified if an already active participant changes from a service center to an independent operation, or the reverse, or changes from one service center to another.

Record Descriptions

Commodity data record formats for Customs Proprietary (ABI) standard follow.

II AES Input Requirements

Transaction Control Header	A
Block Control header	B
Shipper's Commodity Data	SC1
Additional Shipper's Commodity Information	SC2
Additional Shipper's Commodity Information	SC3
Commodity Line Item Party Profile	NO1
Commodity Line Item Party Profile	NO2
Commodity Line Item Party Profile	NO3
Commodity Line Item Description	CL1
Commodity Line Item Description	CL2
Commodity Line Item Description	CL3
Export Vehicle Information	EV1
Block Trailer Record	Y
Transaction Trailer Record	Z

Transaction Control Header (A) Record

This is a mandatory transaction control header record. This signifies the beginning of the transmission.

<i>Transaction Control Header (A) Record</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Data Identifier	1 AN	1	M	Must always equal A	
Filler	4 AN	2-5	M	Space fill; Future expansion	
Transmitter ID	9 AN	6-14	M	Provide transmitter's DUNS or SSN or EIN	
Transmitter Password	6 AN	15-20	M	Password of the transmitter	
Transmitter id Type	1 AN	21	M	D =DUNS S = SSN E =EIN	
Application Identifier	2 AN	22-23	M	Identifies the transmission type: XP = Exporter Data Input XT = Output from Customs XN = Transaction Status	
Current Date	8 N	24-31	M	CCYYMMDD format	
Batch Control Number	6 N	32-37	O	Batch control to identify the transmission sequence	
Authorized Agent	1 A	38	O	Indicator supplied if the filer is authorized agent of the exporter Y = Yes N = No Future Use	
Filler	42 AN	39-80	M	Space fill	

Block Control Header (B) Record

This is a mandatory block control header record. It is used to send exporter profile information.

Block Control Header (B) Record					
Data Element	Length/ Class	Position	Status	Description	Note
Data Identifier	1 AN	1	M	Must always equal B	
Filler	4 AN	2-5	M	Space fill. For future expansion.	
Exporter ID Number	9 N	6-14	C	Provide this number if profile already established	1
Exporter ID Type	1 AN	15	C	D = DUNS S = SSN E = EIN Required if party ID present	
Exporter IRS Number	9 N	16-24	C	Required if establishing new profile	1
IRS Number Type	1 AN	25	C	S = SSN E = EIN Required if Exporter IRS number present	
Exporter Name	30 AN	26-55	C	If Exporter ID missing, exporter name is required	
Disclosure Indicator	1 AN	56	O	Possible Future Use. Disclosure Indicator for information covered under the Freedom of Information Act N = Do not disclose data Y = Disclose data (Default)	
Filler	24 AN	57-80	M	Space fill.	

Notes:

1. The Exporter ID Number and Exporter IRS Number length/class changed from 9AN to 9N, effective November 15, 1996. The Exporter ID, and Exporter ID Type are the only fields required once the party profile is established. The filer need not report the fields positioned in 16-55 every time.

Shipper's Commodity Information (SC1) Record

This is a mandatory record used to transmit Shipper's Commodity Information to Customs.

<i>Shipper's Commodity Information (SC1) Record</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Data Identifier	3 AN	1-3	M	Must always equal SC1	
Related Company Indicator Code	1 AN	4	M	A code indicating whether either the U.S. exporter or the foreign Ultimate Consignee owns, during the fiscal year, 10 percent or more of the other's voting security's (if an incorporated business enterprise) or an equivalent interest (if an unincorporated business enterprise), including a branch. Valid codes are: 1 = Related 2 = Non-related	
Mode of Transportation (MOT)	2 AN	5-6	M	A code representing the type of carrier transporting the goods out of the US. Valid codes are: <div style="display: flex; justify-content: space-between;"> <div> 10 = Sea 11 = Sea- Containerized 12 = Barge 20 = Rail 21 = Rail Container 30 = Truck 31 = Truck Container 32 = Auto </div> <div> 33 = Pedestrian 34 = Road, Other 40 = Air 41 = Air, Container 50 = Mail 60 = Passenger, Hand Carried 70 = Fixed Transport Installations (Pipeline and Powerhouse) 80 = Not in Use </div> </div>	
Country of Ultimate Destination	2 AN	7-8	M	ISO code representing the country of ultimate destination of the shipment.	
State/Province Code	2 A	9-10	M	Standard USPS abbreviation for the states, territories and possessions of the United States in which merchandise actually begins its movement in international trade. Leave blank for in-transit shipments.	
SCAC/IATA	4 AN	11-14	M	Standard Carrier Alpha Code (vessel, rail or truck) or IATA (air) of exporting carrier.	1
Shipment Reference Number	17 AN	15-31	M	The unique shipment identifier consisting of the Filer's shipment reference number	

Notes:

- The SCAC codes for vessel and truck are administered by the NMFTA, National Motor Freight Traffic Association. Rail SCACs are administered by the AAR, American Association of Railroads. Air IATA codes administered by the International Air Transport Association. IATA codes for air exports and SCAC codes for rail and truck exports are required beginning January 1, 1997. IATA codes *F (unknown foreign), *C (unknown canadian) and *U (unknown U.S.) will be accepted.

Shipper's Commodity Information (SC1) Record					
Data Element	Length/ Class	Position	Status	Description	Note
Correction Flag	1 AN	32	O	This indicator is used when a change to an existing shipment is made or an existing shipment is deleted: C= Change D= Delete	
Conveyance Name	23 AN	33-55	C	For MOT = Sea, the name of the ship. For MOT= Air, Rail or Truck, the name of the carrier.	1
Vessel Flag	2 AN	56-57	C	The ISO code for the country under whose flag the ship is registered. Required only for MOT = Sea.	
Port of Unlading	5 AN	58-62	C	Schedule K required only for MOT = Air or Sea. For trade between the US and Puerto Rico, use 4 position Schedule D port code.	
Port of Exportation	4 AN	63-66	M	Code designating port from which merchandise is last loaded for vessel and air; for land borders the actual border crossing	
Estimated Date of Export/Arrival	8 N	67-74	M	Estimated date of departure from the U.S. Port of Exportation. Use this as date of arrival for shipments from the US to Puerto Rico.	
Waiver of Prior Notice Indicator	1 AN	75	O	Y/N indicating whether or not Drawback claimant has received a waiver of prior notice for the exported merchandise. Default is N.	
Hazmat Indicator	1 AN	76	M	Used to indicate whether or not the shipment contains hazardous material Y = Yes N = No	
Filler	4 AN	77-80	M	Space fill.	

1. Conveyance Name supercedes the previous "Vessel Name" data element field. Conveyance name will be required for air, rail and truck shipments beginning January 1, 1997.

Additional Shipper's Commodity Information (SC2) Record

This is a mandatory record used to transmit Shipper's Commodity Information to Customs.

<i>Additional Shipper's Commodity Information (SC2) Record</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Data Identifier	3 AN	1-3	M	Must always equal SC2	
In Bond Code	2 AN	4-5	M	In Bond Code Values 36 = Warehouse withdrawal for IE 37 = Warehouse withdrawal for T&E 62 = T&E 63 = IE 67 = IE from a Foreign Trade Zone (FTZ) 68 = T&E from a Foreign Trade Zone (FTZ) 70 = Not In Bond	
Entry Number	15 AN	6-20	C	If in bond code provided, the in bond entry number must be provided	
Foreign Trade Zone	5 AN	21-25	O	Foreign trade zone code	
AES Generated ITN	15 AN	26-40	O	AES generated internal number	
Filler	40 AN	41-80	M	Space fill.	

Additional Shipper's Commodity Information (SC3) Record

This is an optional record to provide container information. Maximum of 999 records permitted.

<i>Additional Shipper's Commodity Information (SC3) Record</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Data Identifier	3 AN	1-3	M	Must always equal SC3	
Equipment Number	14 AN	4-17	C	Container Number. Use this field if the shipment involves a container; otherwise, use the Booking Number field. Use one field or the other, but not both	1
Seal Number	15 AN	18-32	O	Seal number of the container	
Booking Number	30 AN	33-62	C	Booking Number. Use this field IF Container Number is not present	1
Filler	18 AN	63-80	M	Space fill	

NOTES:

1. The container number or booking number must be provided if the mode of transportation (SC1 record) is:
 - 11 Sea - containerized
 - 21 Rail container
 - 31 Truck container
 - 41 Air container

Use of the booking number instead of the container number takes effect on November 15, 1996 as requested by the Trade Community.

Party Profile (N01) Record

This is a mandatory record to transmit party profile information. A separate N01 record is transmitted for each forwarding agent (freight forwarder), exporter, and consignee. If DUNS is not provided, name and address are required.

Party Profile Information (N01) Record					
Data Element	Length/ Class	Position	Status	Description	Note
Data Identifier	3 AN	1-3	M	Must always equal N01	
Filler	2 AN	4-5	M	Space fill. For future expansion.	
Party ID	9 N	6-14	C	Provide this number if profile already established.	1
Party ID Type	1 AN	15	C	D = DUNS S = SSN E = EIN Required if party ID present	1
Party Type	1 AN	16	M	M = Manufacturer C = Consignee I = Intermediate Consignee F = Forwarding Agent E = Exporter P = Purchaser/Buyer H = Alternative mailing address for HMF	1
Party Name	30 AN	17-46	C	Required if Party ID missing	2
Contact First Name	13 AN	47-59	C	Contact Party's First Name or Title	2
Contact Last Name	20 AN	60-79	C	Contact Party's Last Name or Department Name. Required when no profile previously exists for the exporter's ID number.	2
Contact Middle Initial	1 AN	80	C	Contact Party's Middle Initial	2

NOTES:

1. The Party ID length/class changed from 9AN to 9N. The Party ID, Party ID Type and Party Type are the only fields required once the party profile is established. The filer need not report the fields listed in note 2 every time.
2. If exporter or forwarding agent profile is being transmitted for the first time, these fields are required.

Party Profile (N02) Record

This is a conditional record used to transmit parties profile address information. This record is used to transmit the address segment if name is provided in the N01 record.

Party Profile Information (N02) Record					
Data Element	Length/ Class	Position	Status	Description	Note
Data Identifier	3 AN	1-3	M	Must always equal N02	
Address Line 1	32 AN	4-35	M	Mailing Address Line 1	1
Address Line 2	32 AN	36-67	O	Additional Address Line 2	
Contact Phone	11 AN	68-78	C	Contact Party's Phone Number Provide if exporter ID not previously established	1, 2
Filler	2 AN	79-80	M	Space fill.	

NOTES:

1. If exporter or forwarding agent profile is being transmitted for the first time, these fields are required.
2. "Contact Phone" becomes a required (mandatory) field when "Party Type" = "E" (from the N01 record).

Party Profile (N03) Record

This is a conditional record used to transmit parties profile address information. This record is required if N01 contains the party name and is used to transmit the address segment.

Party Profile Information(N03) Record					
Data Element	Length/ Class	Position	Status	Description	Note
Data Identifier	3 AN	1-3	M	Must always equal N03	
City	25 AN	4-28	M	Mailing City	1
State	20 AN	29-48	M	State code or name	1, 2
Country	2 AN	49-50	M	ISO Country Code	1
Postal Code	9 AN	51-59	M	Zip Code	1
Filler	21 AN	60-80	M	Space Fill	

Notes:

1. If exporter or forwarding agent profile is being transmitted for the first time, these fields are required.
2. When reporting information about a forwarder or consignee in Mexico, the Mexican State Code is required.
Valid Mexican State Codes are listed below:

Mexican State Codes

AG	Aguascalientes	MO	Morelos
BN	Baja California Norte	NA	Nayarit
BS	Baja California Sur	NL	Nuevo Leon
CM	Campeche	OA	Oaxaca
CS	Chiapas	PU	Puebla
CH	Chihuahua	QT	Queretaro
CO	Coahuila	QR	Quintana Roo
CL	Colima	SL	San Luis Potosi
DF	Distrito Federal	SI	Sinaloa
DG	Durango	SO	Sonora
GT	Guanajuato	TB	Tabasco
GR	Guerrero	TM	Tamaulipas
HG	Hidalgo	TL	Tlaxcala
JA	Jalisco	VE	Veracruz
MX	Mexico	YU	Yucatan
MI	Michoacan	ZA	Zacatecas

Commodity Line Item Description (CL1) Record

This is a mandatory record used to transmit a description of the commodity and/or distinguishing characteristics of the commodity. Multiple CL1 records may be entered after each EV1 record.

<i>Commodity Line Item Description (CL1) record</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Data Identifier	3 AN	1-3	M	Must always equal CL1	
Export Info. Code	2 AN	4-5	M	The export information codes, AESTIR Appendix E	
Line Number	5 N	6-10	M	Line Number of the item	
Commodity Description	45 AN	11-55	M	A commercial description of the commodity for each line item in the shipment.	
HMF Exemption Code	1 AN	56	M	For valid exemption codes, see list below	
HMF Exemption Amount	10 AN	57-66	C	If HMF exemption claimed, this field is required.	
Line Correction FLG	1 AN	67	O	A = Add the line D = Delete the line C = Change the line	
Filler	13 AN	68-80	M	Space fill.	

HMF Exemption Codes:

The following is a list of Harbor Maintenance Fee Exemption Codes from sec. 4462, chap. 36 I.R. code 1954 as amended by the HMF Revenue Act of 1986 that are applicable to exports:

A = Not a port [(a) (2)]
 B = Bunker Fuel [(a) (3)]
 C = Ship/sea stores [(a) (3)]
 D = Vessel equipment [(a) (3)]
 Z = No exemption claimed

Commodity Line Item (CL2) Record

Conditional record used to transmit commodity line item data for each Shipper's Commodity Data (SC1) record. Depends upon the export information code reporting requirements (see Attachment C).

Commodity Line Item (CL2) Record					
Data Element	Length/ Class	Position	Status	Description	Note
Data Identifier	3 AN	1-3	M	Must always equal CL2.	
Harmonized Schedule Code	10 AN	4-13	C	The code located in the Harmonized Tariff Schedule or Schedule B that represents the commodity line item.	
Unit of Measure 1	3 AN	14-16	C	A code indicating the first unit of measure for the commodity line item.	
Quantity 1	10 N	17-26	C	A value representing total number of units as specified by the Unit of Measure 1. If Unit of Measure 1 is "X", enter zero.	
Value of Goods	10 N	27-36	M	Shipment value in U.S. dollars.	
Unit of Measure 2	3 AN	37-39	C	A code indicating the secondary unit of measure for the commodity line item.	
Quantity 2	10 N	40-49	C	The number of units defined in Unit of Measure 2 field.	
Shipping Weight	10 N	50-59	M	A value representing the shipping weight in kilograms.	
License Code	3 AN	60-62	C	License Code designating the type of license or permit.	1
Export Control Classification Number	5 AN	63-67	C	Bureau of Export Administration ECCN	2
Foreign/ Domestic Code	1 A	68	C	A code indicating whether the shipment is of foreign or domestic origin. Valid Codes are: D = Domestic F = Foreign	
Export License Number/CFR Citation	12 AN	69-80	C	License number assigned by the licensing agency or Code of Federal Regulations (CFR) citation.	

Notes

1. License Codes

OTHER

<u>License Code</u>	<u>Description</u>
OPA	Other Partnership Agency License Not Listed in Tables

AES-COMMERCE/BXA LICENSE TYPE CODES

<u>License Code</u>	<u>Description</u>	<u>License # Req.</u>	<u>ECCN Req.</u>
C00	Individual Validated	Yes	Yes
C01	All Special Licenses	Yes	Yes
C02	G-DEST	No	No
C03	GIT	No	No
C04	GLV	No	Yes
C05	Baggage	No	No
C06	GLOG	No	No
C07	Ships Stores	No	No
C08	Plane Stores	No	No
C09	CREW	No	No
C10	RCS	No	No
C11	GUS	No	No
C12	GCG	No	No
C13	GTF-US	No	No
C14	G-NNR	No	No
C15	GLR	No	No
C16	Gift	No	No
C17	GATS	No	No
C18	GLX	No	No
C19	GTDA/GTDR	No	No
C20	GTEMP	No	No
C21	GFW	No	Yes
C22	GNSG	No	No
C23	GCT	No	Yes
C24	Safeguards	No	No
C25	G-BETA	No	No
C26	No License Required	No	No

AES-NUCLEAR REGULATORY COMMISSION (NRC) LICENSE TYPE CODES

<u>License Code</u>	<u>Description</u>	<u>License # or Gen. Lic. Citation Req.</u>
N01	NRC Form 250/250A ("Specific" export license for nuclear material and equipment/Conditions; requires entry of license number in License Number field)	Yes
N02	NRC "general" export license CFR citation (NRC "general" licenses require entry of CFR citation in the License Number field)	Yes

AES-STATE/MUNITIONS LICENSE TYPE CODES

<u>License Code</u>	<u>Description</u>	<u>License # or Lic. Exemp. Cit. Req.</u>
SAG	AG (Agreements)	Yes
S00	License Exemption Citation	Yes
S05	DSP-5 (Permanent Export of Unclassified Defense Articles and Services)	Yes
S61	DSP-61 (Temporary Import of Unclassified Articles)	Yes
S73	DSP-73 (Temporary Export of Unclassified Articles)	Yes
S85	DSP-85 (Temporary or Permanent Import or Export of Classified Articles)	Yes

AES-TREASURY/OFFICE OF FOREIGN ASSETS CONTROL (OFAC) LICENSE TYPE CODES

<u>License Code</u>	<u>Description</u>	<u>License # or Lic. Exemp. Cit. Req.</u>
T10	OFAC 'Specific' license ('Specific export license issued, on a case-by-case basis, by OFAC for certain export shipments that would otherwise be barred by sanctions; Requires entry of license number in AES "Export License Number/CFR Citation" field.	Yes
T11	OFAC 'General' export license CFR citation (Export shipments permitted under 'General' License which conform to criteria set forth in an OFAC authorization published as a regulation (no individual clearance by OFAC); covers certain shipments that would otherwise be barred by sanctions; requires entry of CFR citation, or if there is no CFR citation, the Federal Register citation (if there is one) or General License number, in AES "Export License Number/CFR Citation" field.	Yes

- The number of positions for the ECCN field has changed from 4 positions to 5, effective November 15, 1996.

Commodity Marks And Numbers (CL3) Record

This is an optional record. Up to 99 records can be transmitted.

<i>Commodity Marks and Numbers (CL3) Record</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Data Identifier	3 AN	1-3	M	Must always equal CL3	
Marks and Numbers	70 AN	4-73	M	Marks and Numbers	
Filler	7 AN	74-80	M	Space fill.	

Export Vehicle (EV1) Record

This is a conditional record used to transmit Export Vehicle data to Customs for validation. Transmit this record for each used vehicle Commodity Line Item (CL2) Record.

<i>Export Vehicle Information (EV1) Record</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Data Identifier	3 AN	1-3	M	Must always equal EV1	
Vehicle Identification Number/Product ID	25 AN	4-28	M	Provide VIN Number for used self propelled vehicles and product ID number when self propelled vehicles do not have VIN.	
Vehicle ID Qualifier	1 AN	29	M	Use V = VIN P = Product ID	
Vehicle Title Number	15 AN	30-44	M	Vehicle title number issued by the MVA.	
Vehicle Title State	2 A	45-46	M	State Code table	
Vehicle Correction Flag	1 A	47	O	A = Add a Vehicle D = Delete a Vehicle C = Change a Vehicle	
Filler	33 AN	48-80	M	Space fill.	

Block Control Trailer (Y) Record

This is a mandatory block control trailer record. This signifies the end of the exporter's shipments.

Block Control Trailer (Y) Record					
Data Element	Length/ Class	Position	Status	Description	Note
Data Identifier	1 AN	1	M	Must always equal Y	
Filler	4 AN	2-5	M	Space fill. For future expansion.	
Exporter ID Number	9 N	6-14	C	Provide this number if profile already established	1
Exporter ID Type	1 AN	15	C	D = DUN S S = SSN E = EIN Required if party ID present	
Number of Shipments	5 N	16-20	M	Number of shipments transmitted in this batch	
Filler	60 AN	21-80	M	Space fill.	

NOTES:

1. The Exporter ID Number is the same Exporter ID Number entered in the Block Control Header (B) record and must be a minimum of nine characters.

Transaction Control Trailer (Z) Record

This is a mandatory transaction control trailer record. This signifies the end of the transmission.

<i>Transaction Control Trailer (Z) Record</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Data Identifier	1 AN	1	M	Must always equal Z	
Filler	4 AN	2-5	M	Space fill. For future expansion	
Transmitter ID	9 AN	6-14	M	Provide transmitter's DUNS or SSN or EIN	
Application Identifier	2 AN	15-16	M	Identifies the transmission type: XP = Exporter Data Input XT = Output from Customs XN = Transaction Status	
Current Date	8 N	17-24	M	CCYYMMDD Format	
Batch Control Number	6 N	25-30	O	Batch control to identify the transmission sequence	
Filler	50 AN	31-80	M	Space fill.	

III OUTPUT FROM CUSTOMS

ERROR MESSAGE RESPONSE

Transaction Control Header	A
Block Control header	B
Record In Error	SC1 thru EV1
Error Message Record	ES1
Block Trailer Record	Y
Transaction Trailer Record	Z

STATUS NOTIFICATIONS

Transaction Control Header	A
Block Control Header	B
Header Record	SC1
Notification	ES1
Block Trailer Record	Y
Transaction Trailer Record	Z

Status Notification Record (ES1)

This record used to transmit status of the transmission or any other notification to the transmitter of the commodity data.

<i>Status notification (ES1) Record</i>					
Data Element	Length/ Class	Position	Status	Description	Note
Data Identifier	3 AN	1-3	M	Must always equal ES1	
Message Code	4 AN	4-7	M	Message code	
Narrative Message	70 AN	8-77	M	Narrative message	
Filler	3 AN	78-80	M	Space fill.	